under 37 C.F.R. 1.116 **Expedited Procedure Technology Center 3600**

REMARKS

In the Advisory Action dated December 30, 2003, the Office requires additional information from Applicant or the assignee under 37 C.F.R. § 1.105. In particular, the Office requires that Applicant or the assignee provide a CD that includes documentation for "CICS OS/2 Version 2 Developer Kit, 1st edition (May 1994)," and the Book Manager software that is required to read this documentation.

The required information is not readily available. To date, the assignee has been unable to locate the required CD. However, the assignee will continue to search for the CD required by the Office. In searching for the required CD, the assignee has located documentation that may provide the information required by the Office. As a result, the assignee has included with this response a CD that includes Book Reader software for viewing the documentation in soft copy, and the various documents located by the assignee. Please refer to the "ReadMe" file on the CD for a listing of the files included with the CD. Further, the assignee has included an IDS listing the title and publication number for each of the included references. To this extent, the assignee respectfully requests prompt consideration of the references included herein.

Should the Examiner require anything further, the Examiner is invited to contact Applicant's undersigned representative at the number listed below.

Respectfully submitted.

John W. LaBatt, Reg. No. 48,301

7_ W.H

Hoffman, Warnick & D'Alessandro LLC

Three E-Comm Square

Albany, NY 12207

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Serial No. 09/634,435

Page 2 of 2

Dated: January 28, 2004

Readme for CD

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

4383

Serial No.: 09/634,435 Conf. No.:

Filing Date: 08/08/2000 Art Unit: 3625

Applicant: Hu, Shiann-Jong Examiner: Garg,

Yogesh C.

Title: METHOD AND SYSTEM FOR Docket No.: JA9998

02

INTEGRATING CORE BANKING

BUSINESS PROCESSES

Dear Sir:

This CD is being provided together with a Response to a Requirement for Information

included in an Advisory Action dated December 30, 2003 for the above-r eferenced

Application. The CD includes the following files:

ilrjava.exe BookReader Installation Program

Once installed, the BookReader Software can be used to view the following references:

File Title faaop300.boo Application Design Guide dfhzac02.boo CICS Family: API Structure faaap301.boo CICS for OS/2 Application Programming Version 2.0.1 faaaa306.boo CICS for OS/2 Version 2.0.1 Customization faaaa107.boo CICS for OS/2 Version 2.0.1 Installation CICS for OS/2 Version 2.0.1 Intercommunication faaat102.boo faaaa605.boo CICS for OS/2 Version 2.0.1 Operation faaas106.boo CICS for OS/2 Version 2.0.1 Problem Determination faazg400.boo Messages and Codes

IBM Softcopy Reader V3.2

Installation Instructions & Version Notes (README)

Service Level S031111

Driver Level SCRV3_111103

Build Number 1

Last Updated: 11/11/2003

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This README file (available in English only) contains the information you need to install and configure IBM Softcopy Reader, which is made up of two programs: (1)Book Reader and (2)Shelf Organizer.

The information is divided into the following categories:

- Prerequisites
- Before You Install
- Installation of IBM Softcopy Reader
- Running the Programs / Viewing the Documentation
- Uninstalling
- Version Notes
- Limitations
- Special Note to HTTP Server Administrators
- Getting Help with BookManager Products
- Trademarks

PREREQUISITES

Software Prerequisites

One of the following operating systems:
• Microsoft Windows 2000 or XP

NOTE: Starting with the March 2003 IBM Online Library Collection Kits, the Book Reader and Shelf Organizer programs are now executable in the native Windows environment. You don't need the Java Runtime environment on your machine to run IBM Softcopy Reader V3.1 unless it is launched by another application (such as: Build for Windows 2.3, or Autorun for IBM Online Library Collection

Kits prior to March 2003) via the Java interface. It is for this reason that the Book Reader and Shelf Organizer jar files are still installed as part of the IBM Softcopy Reader.

Hardware Prerequisites

- Any personal computer capable of running Windows 2000 or XP with Intel® Pentium®II (or higher), or compatible processor, with a minimum of 256MB RAM Display device supported by Windows 2000 or XP
- Display device supported by Windows 2000 or XP (VGA or better resolution)
- Any pointing device supported by Windows 2000 or XP
 Hard-disk drive storage of 86MB for final installed

image (Note: A total of 128MB free disk space is required to run the install program.)

One or more Windows-supported CD-ROM drives and the equipment needed to attach them to your workstation
 Optionally - a Windows supported printer

BEFORE YOU INSTALL

Before you begin your installation: Uninstall the previous version of IBM Softcopy Reader if a version prior to 2.3.1 or greater than 3.1 is already installed

INSTALLATION OF THE SOFTCOPY READER

These instructions assume your hard disk is C and your CD-ROM drive is D. If they are not, substitute the drive letter you are using for the letter shown in the examples.

Install From the IBM Collection Kit CD-ROM:

- 1. Start the Windows system.
- 2. From the IBM Collection Kit, insert the CD-ROM containing the Softcopy Reader Installation program into the CD-ROM drive on your PC (Note, that if the Softcopy Tools application starts, you may launch the installation of IBM Softcopy Reader from the Softcopy Tools Interface and skip to Step 7 below)
- 3. Click on the "Start" button. The Start menu appears.
- 4. Select the "Run" option.

The Run window appears.

- 5. In the Run window, if the following path does not appear: d:\ilrjava\ilrjava.exe, then type this path: d:\ilrjava\ilrjava.exe
- 6. Click on OK.
- 7. Follow the instructions in the Installation window.
- 8. During installation, you will be asked to:
 - Specify the location (paths) to books, PDFs, shelves and shelf indexes (defaults presented may be modified)
 - Specify the drive letter of your primary CD-ROM drive
 - Select the default directory shown in the window to save any notes you create in the books, or specify your own directory
 - You have the option to specify the drive letter(s) for your CD-ROM drive(s)
 - If you already have the IBM Library Reader installed on your system, specify whether you'd like to make IBM Softcopy Reader the default book reader.
 - Indicate which CD-ROM drive(s) will be used to read IBM Collection Discs
- 9. When installation is complete, you can start using Book Reader and Shelf Organizer. It is not necessary to reboot your system.

Icons for the Book Reader and Shelf Organizer are placed on your desktop during installation. Note that if you are upgrading from an earlier version of IBM Softcopy Reader without having first uninstalled it, you'll also have a "Bookshelf Organizer" icon on your desktop. The "Bookshelf Organizer" icon may be deleted from your desktop, as it has now been replaced by the "Shelf Organizer"

Download and Install From the IBM BookManager Web Site:

- 1. Start the Windows system.
- 2. Open your Web browser and go to IBM BookManager Web site:
 http://www.ibm.com/software/applications/office/bkmgr/softcopyread.
 html
- 3. Click on "Download Softcopy Reader self-extracting" file. The "Save As" window will open.
- 4. Select a drive and folder on your system to download the executable Softcopy Reader installation file (ilrjava.exe), then click Save. The Softcopy Reader file will be downloaded to this drive and folder on your system.
- 5. Click on the Windows "Start" button. The Start menu should appear.
- 6. Select the "Run" option.

The Run window should appear.

- 7. In the Run window, click on "Browse". When the Browse window opens, select the drive and folder you specified in Step 4 to save the file, then highlight the ilrjava.exe file and click on Open. The correct path to the ilrjava.exe file should be displayed in the Run window.
- 8. Click on OK.
- 9. Follow the instructions in the Installation window.
- 10. During installation, you will be asked to:
 - Specify the location (paths) to books, PDFs, shelves and shelf indexes (defaults presented may be modified)
 - Specify the drive letter of your primary CD-ROM drive
 - Select the default directory shown in the window to save any notes you create in the books, or specify your own directory
 - You have the option to specify the drive letter(s) for your CD-ROM drive(s)
 - If you already have the IBM Library Reader installed on your system, specify whether you'd like to make IBM Softcopy Reader the default book reader.
 - Indicate which CD-ROM drive(s) will be used to read IBM Collection Discs
- 11. When installation is complete, you can start using Book Reader and Shelf Organizer. It is not necessary to reboot your system.

Icons for the Book Reader and Shelf Organizer are placed on your desktop during installation. Note that if you are upgrading from an earlier version of IBM Softcopy Reader without having first uninstalled it, you'll also have a "Bookshelf Organizer" icon on your desktop. The "Bookshelf Organizer" icon may be deleted from your desktop, as it has now been replaced by the "Shelf Organizer"

						· 	
RUNNING	THE	PROGRAMS	/	VIEWING	THE	DOCUMENTATION	

- 1. To run "Book Reader" choose:
 Start->Programs->IBM Softcopy Reader->Book Reader
 or click the "Book Reader" icon on your desktop.
- 2. To run "Shelf Organizer" choose:
 Start->Programs->IBM Softcopy Reader->Shelf Organizer
 or click the "Shelf Organizer" icon on your desktop.
- 3. To open the "User's Guide" (in the Book Reader) choose:

readme Start->Programs->IBM Softcopy Reader->User's guide

4. To view this "Readme" file choose: Start->Programs->IBM Softcopy Reader->Readme

Please note that you can also open books in the Book Reader from within the Shelf Organizer.

UNINSTALLING

To uninstall, use "Add/Remove Programs" from the Control Panel of Windows.

VERSION NOTES

These are the enhancements and limitations for Version 3.2 Service Level S031111 of the IBM Softcopy Reader, organized by driver levels: SCRV3 111103

- Reduced package size.
- Improved organization of User's Guide.
- Added driver level to help about.
- Allow bookshelf to be padded with blanks.
- Various defects fixed.
- Some users may experience program hangs problems when using the edit/copy feature Softcopy Reader on certain platforms.

SCRV3 100203, Service Level S031031

 Shipping full JRE which prevents the print dialog from causing the Softcopy Reader from hanging.

SCRV3 092503:

- Fix defect preventing Windows users from opening pdf publications.

SCRV3 091503b:

- Users with IBM T30 laptops and ATI Mobility graphics running Windows 2000 need to update to the latest driver level. In some cases, the program steps into a defect in the graphics driver causing the system to hang.

SCRV3 091503:

- Formatting of definition lists has been improved.
- Commandline debugging flag -debug

- XKS debugging log file now only activated with debugging turned on.
- Import PDF Publications dialog enhancements.
- Various defects fixed.

SCRV3 081503:

- Syntax diagram formatting improved.
- File dialogs now allow a full path directory instead of just a filename.
- Traditional bookshelves and extended shelves can no longer cut/copy/paste between each other.
- A title is now required when creating a traditional bookshelf.
- Cross book linking, broken in an earlier driver level, is now fixed.
- Windows NT is no longer supported.
- Various defects fixed.

SCRV3 071703:

- PDF's can now be imported into extended shelves.
- Various defects fixed.

SCRV3 070703:

- Various defects fixed.

SCRV3 070303:

- Enhanced extended shelf error messages
- Various defects fixed.

SCRV3 070103:

- Various defects fixed.

SCRV3 062603:

- Extended Shelf (XKS) Support has been added, specifically the ability to list, view, create and modify Extended Shelves.
 Note that, currently, Extended Shelves may only contain Adobe Acrobat PDF files
- The Shelf Organizer is now launched via the hlcs.bat file
- Various defects fixed.

I TMTTATIONS

LIMITATIONS

- Shelf level searching of Extended Shelves is not currently supported.
- To search a PDF or Book publication on an extended shelf, open the publication and use the search facility provided by the

configured publication reader (e.g. Adobe Acrobat Reader for PDFs, and IBM Softcopy Reader for Books).

- The function "View Description" for PDF files is not currently supported.

For updates on this tool, please periodically visit the IBM BookManager web pages for Softcopy Reader at:

http://www.ibm.com/software/applications/office/bkmgr/softcopyread.htm

SPECIAL NOTE TO HTTP SERVER ADMINISTRATORS

If you transfer softcopy files from an IBM Collection Kit to an HTTP Server, you can provide the same access to these files as when the softcopy collection index (SCINDEX.HTM) is loaded within the SCFRAME.HTM frameset on a collection disc. However, to launch BookManager books and shelves from an HTTP Server with Softcopy Reader via the *Netscape* browser, the HTTP server administrator must modify the HTTP server configuration.

Specifically, a MIME content type/subtype of application/x-boo must be assigned to the .boo file extension, application/x-bks must be assigned to the .bks file extension, and application/x-xks must be assigned to the .xks file extension. These assignments correspond to the content type values specified by the Softcopy Reader installation program in the .boo, .xks and .bks helper application information at the client. The method to make these assignments depends on the HTTP server. Here are some examples:

- For the Apache HTTP server, create AddType directives in the httpd.conf file.
- For the Microsoft IIS HTTP server, create MimeMap registry key entries.
- For the Lotus Go HTTP server, use the Configuration and Administration function to add new entries to the Mime Types form.

This HTTP Server configuration change is only required if serving Netscape clients as described above.

GETTING HELP WITH BOOKMANAGER PRODUCTS

This section contains information on how to obtain support and the latest corrective service for BookManager products.

We recommend you obtain and apply any available corrective service from the ibm.com website at installation time.

Both electronic and telephone support are available.

ELECTRONIC SUPPORT

Electronic support is available via Internet, Web, and FTP. This support covers:

- Hints & Tips
- Most Frequently Asked Questions & Answers
- Fixes (for some BookManager products)

Internet

Email:

bookmgr@us.ibm.com

Web:

BookManager Home Page - see Service, Hints and Tips icon: http://www.ibm.com/software/applications/office/bkmgr/

IBM Personal Systems Service & Support Home Page:
 http://ps.software.ibm.com/

FTP:

FTP to

ps.boulder.ibm.com

logon anonymous

use your email as your password

change directory to /ps/products/bookmanager

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- Acrobat Reader

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- End of readme.txt file -